## STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

IN THE MATTER OF: BLACKROCK CAPITAL INVESTMENTS and JONATHAN LAW, their partners, officers and directors, managers, agents, employees, affiliates, successors and assigns.

NO. 1300409

## ORDER OF PROHIBITION

TO RESPONDENTS:

Blackrock Capital Investments

5 Habourmaster Place

International Financial Services Centre

Dublin 1 Ireland

And

Jonathan Law

5 Habourmaster Place

International Financial Services Centre

Dublin 1 Ireland

WHEREAS, a Temporary Order of Prohibition was issued by the Secretary of State on January 31, 2014 which prohibited Blackrock Capital Investments and Jonathan Law from loan brokering in or from the State of Illinois until further order of the Secretary of State or his duly authorized representative;

WHEREAS, pursuant to section 15-55(e) of the Illinois Loan Brokers Act of 1995 [815 ILCS 175/15-1 et seq.] (the "Act"), the failure to request a hearing within thirty (30) calendar days of the entry of the Temporary Order shall constitute an admission of any acts alleged therein and constitute a sufficient basis to make the Temporary Order final;

WHEREAS, the Respondents have failed to request a hearing on the matters contained in the Temporary Order within thirty (30) calendar days of the entry of said Temporary Order and the Respondents are hereby deemed to have admitted the facts alleged in the Temporary Order;

WHEREAS, the Secretary of State, by and through his duly authorized representative, has adopted the Findings of Fact contained in the Temporary Order as the Secretary of State's Findings of Fact as follows:

That Respondent Blackrock Capital Investments
 (hereinafter at times "Blackrock" or together with Jonathan Law
 "Respondents"), is or was, at all times referred to herein, doing business at
 5 Harbourmaster Place, International Financial Services Centre, Dublin 1 Ireland.

- 2. That Respondent Jonathan Law (hereinafter at times "J Law" or together with Blackrock, "Respondents") is or was, at all relevant times referred to herein, the managing partner and Chief Executive Officer of Blackrock, and is located at 5 Harbourmaster Place, International Financial Services Centre, Dublin 1 Ireland.
- 3. That Respondents were/are in the business of providing loan finding services, for compensation, to the general public within and outside the State of Illinois.
- 4. That Respondents, acting within the State of Illinois, agreed to locate and procure loans for at least one Illinois client, and accepted fees totaling at least \$830.00 from this client.
- 5. That the activities set forth in paragraphs 3 and 4 are those of a "loan broker", as those terms are defined in Section 15-5.15(a) of the Illinois Loan Brokers Act of 1995 [815 ILCS 175/15-1 et seq.], (the "Act").
- 6. That Section 15-10 of the Act provides, *inter alia*, that it shall be unlawful for any person to engage in the business of loan brokering unless registered under the Act.
- 7. That Respondents Blackrock and J Law were not registered with the Secretary of State as loan brokers as required by the Act prior to engaging in the business of loan brokering in the State of Illinois.
- 8. That by virtue of the foregoing, Respondents Blackrock and J Law have violated Section 15-10 of the Act.

NOW THEREFORE IT IS HEREBY ORDERED THAT: pursuant to the authority granted by Section 15-55 of the Act, Blackrock Capital Investments and Jonathan Law its/their officers and directors, agents, employees, affiliates, successors and assigns; are permanently **PROHIBITED** from engaging in loan brokering in or from this State until the further Order of the Secretary of State.

Dated: This day of

2014.

Secretary of State
State of Illinois

Attorney for the Secretary of State: James J. Tierney Illinois Securities Department 69 West Washington Street, Suite 1220 Chicago, Illinois 60602 312-793-9650